

## Asset Management

# HSBC Exchange Traded Funds (ETFs)

April 2022

ETF name	Bloomberg ticker	Reuters ticker	ISIN	Sedol	Trading currency <sup>1</sup>	Base currency <sup>2</sup>	TER <sup>3</sup>	Dividend frequency	Replication method	SFDR category <sup>4</sup>
<b>Emerging markets – single country exposure</b>										
HSBC MSCI China UCITS ETF	HMCDN MM	HMCD.BIV	IE00B44T3H88	BMXRZ06	MXN	USD	0.30%	Semi-annual	Physical	Art. 6
<b>Sustainable Equity ETFs</b>										
HSBC Europe Sustainable Equity UCITS ETF	HSEPN MM	HSEU.BIV	IE00BKY55W78	BJP5PG3	MXN	EUR	0.15%	Acc.	Physical	Art. 8
HSBC Japan Sustainable Equity UCITS ETF	HSJDN MM	HSJD.BIV	IE00BKY55S33	BJP5PJ6	MXN	USD	0.18%	Acc.	Physical	Art. 8
HSBC USA Sustainable Equity UCITS ETF	HSUDN MM	HSUD.BIV	IE00BKY40J65	BJP5PH4	MXN	USD	0.12%	Acc.	Physical	Art. 8
HSBC Developed World Sustainable Equity UCITS ETF	HSWDN MM	HSWD.BIV	IE00BKY59K37	BMWXLB8	MXN	USD	0.18%	Acc.	Physical	Art. 8
HSBC Asia Pacific ex Japan Sustainable Equity UCITS ETF	HSXDN MM	HSXD.BIV	IE00BKY58G26	BNDQ287	MXN	USD	0.25%	Acc.	Physical	Art. 8
HSBC Emerging Market Sustainable Equity UCITS ETF	HSEMN MM	HSEM.BIV	IE00BKY59G90	BNDQ2B0	MXN	USD	0.18%	Acc.	Physical	Art. 8
HSBC UK Sustainable Equity UCITS ETF	HSUKN MM	HSUK.BIV	IE00BKY53D40	BL6VNU3	MXN	GBP	0.12%	Acc.	Physical	Art. 8
<b>Thematic ETFs</b>										
HSBC Hang Seng TECH UCITS ETF	HSTEN MM	HSTE.BIV	IE00BMWXKN31	BMG7K08	MXN	HKD	0.50%	Acc.	Physical	Art. 6

### Notes:

1. The currency in which the ETF is traded in the Mexican market
2. The currency in which the ETF is valued and the dividends are published
3. Total expense ratio
4. SFDR: EU Sustainable Finance Disclosures Regulation. Article 6 Product: A financial product which does not integrate sustainability into the investment process. Article 8 Product: A financial product which promotes, among other characteristics, environmental or social characteristics, or a combination of those characteristics, provided that the companies in which the investments are made follow good governance practices

## Key risks

The value of an investment in the portfolios and any income from them can go down as well as up and as with any investment you may not receive back the amount originally invested.

- ◆ **Concentration Risk:** The Fund may be concentrated in a limited number of securities, economic sectors and/or countries. As a result, it may be more volatile and have a greater risk of loss than more broadly diversified funds.
- ◆ **Counterparty Risk:** The possibility that the counterparty to a transaction may be unwilling or unable to meet its obligations.
- ◆ **Derivatives Risk:** Derivatives can behave unexpectedly. The pricing and volatility of many derivatives may diverge from strictly reflecting the pricing or volatility of their underlying reference(s), instrument or asset.
- ◆ **Emerging Markets Risk:** Emerging markets are less established, and often more volatile, than developed markets and involve higher risks, particularly market, liquidity and currency risks.
- ◆ **Exchange Rate Risk:** Changes in currency exchange rates could reduce or increase investment gains or investment losses, in some cases significantly.
- ◆ **Index Tracking Risk:** To the extent that the Fund seeks to replicate index performance by holding individual securities, there is no guarantee that its composition or performance will exactly match that of the target index at any given time ("tracking error").
- ◆ **Interest Rate Risk:** When interest rates rise, bond values generally fall. This risk is generally greater the longer the maturity of a bond investment and the higher its credit quality.
- ◆ **Investment Fund Risk:** Investing in other funds involves certain risks an investor would not face if investing in markets directly. Governance of underlying assets can be the responsibility of third-party managers.
- ◆ **Investment Leverage Risk:** Investment Leverage occurs when the economic exposure is greater than the amount invested, such as when derivatives are used. A Fund that employs leverage may experience greater gains and/or losses due to the amplification effect from a movement in the price of the reference source.
- ◆ **Liquidity Risk:** Liquidity Risk is the risk that a Fund may encounter difficulties meeting its obligations in respect of financial liabilities that are settled by delivering cash or other financial assets, thereby compromising existing or remaining investors.
- ◆ **Operational Risk:** Operational risks may subject the Fund to errors affecting transactions, valuation, accounting, and financial reporting, among other things.
- ◆ **Real Estate Investments Risk:** Real estate and related investments can be negatively impacted by any factor that makes an area or individual property less valuable.

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